

Account Name : \_\_\_\_\_

Account Number : \_\_\_\_\_

**Instructions**

This form must be completed as a requirement and part of the client documentation for the opening of a new client relationship at Private Investment Bank Limited. Equally, this form must again be completed should any information contained in the initial Client Diligence Form changes/evolves during the relationship. **All fields must be completed.**

**1. General Information**

**Account Holder 1 - Please complete all the fields listed below:**

First Name:	Surname:		
Nationality:	Country of Residency:		
Date of Birth:	Are you a PEP?*	YES	NO
E-Mail/s:	Phone (land line):		
Phone (cell):	Passport Number:		
Address:			
Address (cont'd):			
City:	Country:	Postal Code:	
Marital Status:	Single	Married	Divorced      Widowed
Number of Children:	Dependent Children:	YES	NO

**Occupation and Business Activity of Account Holder 1:**

Professional Status:	Self Employed	Employed	Retired	Not Employed
Company Name:				
Position:	Years of Service:	Work Phone:		
Activity of the company:		Website:		
Address of Company of Employment:				
Address of Company of Employment (cont'd):				
City:	Country:	Postal Code:		
Approximate net income per year:	<\$200,000	\$200,000-\$500,000		
	\$500,000-\$1mio	\$1mio+		
Total Wealth (estimated in USD):	Real Estate:	Vehicles:		
	Securities:	Cash:		

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\*Politically Exposed Person (PEP)

**Account Holder 2 - Please complete all the fields listed below:**

First Name: Surname:  
Nationality: Country of Residency:  
Date of Birth: Are you a PEP?\* YES NO  
E-Mail/s: Phone (land line):  
Phone (cell): Passport Number:  
Address:  
Address (cont'd):  
City: Country: Postal Code:  
Marital Status: Single Married Divorced Widowed  
Number of Children: Dependent Children: YES NO

**Occupation and Business Activity of Account Holder 2:**

Professional Status: Self Employed Employed Retired Not Employed  
Company Name:  
Position: Years of Service: Work Phone:  
Activity of the company: Website:  
Address of Company of Employment:  
Address of Company of Employment (cont'd):  
City: Country: Postal Code:  
Approximate net income per year: <\$200,000 \$200,000-\$500,000  
\$500,000-\$1mio \$1mio+  
Total Wealth (estimated in USD): Real Estate: Vehicles:  
Securities: Cash:

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**Account Holder 3 - Please complete all the fields listed below:**

First Name: Surname:  
Nationality: Country of Residency:  
Date of Birth: Are you a PEP?\* YES NO  
E-Mail/s: Phone (land line):  
Phone (cell): Passport Number:  
Address:  
Address (cont'd):  
City: Country: Postal Code:  
Marital Status: Single Married Divorced Widowed  
Number of Children: Dependent Children: YES NO

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\*Politically Exposed Person (PEP)



## 2. Information on Source of Funds / Origin of Assets

- Please identify the source of the funds / origin of assets to be deposited in the account by the beneficial owner/s, *please select one or more that apply*:

Employment Wages	Rent/Lease
Pension/Retirement	Investment Profits
Inheritance/Gift	Sale of Property Other
Business Dividends	(please explain):

- Based on your answer to the previous question, please explain in full detail the source of funds:

*Example: The funds deposited in the account are related to employment wages obtained during my 5 year employment at XYZ company, where I am the Director of Marketing for the company. The funds will be coming from a same name account at ABC Bank in cash and securities. The second account holder is my daughter which is a student at QRS University.*

- Where are the assets coming from?

Bahamian Bank	Foreign Bank	Other
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- Name of the Bank/s where the initial deposit/funds are expected?

- Address of the Bank/s where the initial deposit/funds are expected?

- Type of assets being deposited at the bank? *please select one or more if it applies.*

Cash	Securities	Physical Certificates	Internal transfer
Other	If Other, please explain:		

- Expected number of visits to the Bank per year?

- Origin of relationship:

Member of the Board  
Member of Management  
Client of the Bank  
External Portfolio Manager  
*Please identify name:*  
  
Business Introducer  
*Please identify name:*

**3. Political Activity for a Foreign State – (PEP) Politically Exposed Persons**

- Information: If any of the account holder(s) or their relatives have ever held a political position then they are considered a PEP and must mark YES below.

By the account holder(s): YES NO

By a relative of the account holder(s): YES NO

**If the answer is YES, please answer the following questions:**

Information on the political mandate(s) of the account holder(s) or one of their relatives:

Description of political, legislative or executive charge:

**4. Additional Remarks/Information**

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**INTERNAL BANK USE ONLY**

Name of Client Relationship Manager :	Signature of Client Relationship Manager :	Signature of the MLRO or of the Head Office Representative :
Date :	Date :	Date :

Check banking alarms file and Worldcheck Signature of Customer Account Opening Central File :
Date :

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